| SER. 2.5 1005  TRANSMITTAL FORM  (to be used for all correspondence after initial filing) Total Number of Pages in This Submission  [84] | Na are required to respond to a cold<br>Application Number<br>Filing Date<br>First Named Inventor<br>Art Unit<br>Examiner Name<br>Attorney Docket Number                                                                                       |             | ub<br>ed                                                                                                                                                                                                                                                                                        |
|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ENC                                                                                                                                      | LOSURES (Check all                                                                                                                                                                                                                             | that apply) |                                                                                                                                                                                                                                                                                                 |
| Fee Attached  Amendment/Reply  After Final                                                                                               | Drawing(s) Lloensing-related Papers Petition Petition to Convert to a Provisional Application Power of Altoney, Revocation Power of Altoney, Revocation Terminal Disclaimer Request for Refund CD, Number of CD(s)  Landscape Table on CD arks | ddress      | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Nettee, Brief, Reply Brief) Proprietary Information Status Letter Other Encourse(s) (please Identify below) DFO(SB/08A form, cited refs. and return postcard. |
| SIGNATURE                                                                                                                                | OF APPLICANT, ATTO                                                                                                                                                                                                                             | RNEY, OR    | AGENT                                                                                                                                                                                                                                                                                           |
| Firm Name Kaplan Gilman Gibson & Dernier L                                                                                               | //                                                                                                                                                                                                                                             |             | , , ,                                                                                                                                                                                                                                                                                           |
| July 101.                                                                                                                                | mor                                                                                                                                                                                                                                            |             |                                                                                                                                                                                                                                                                                                 |
| Printed name Kevin M. Curran                                                                                                             |                                                                                                                                                                                                                                                |             |                                                                                                                                                                                                                                                                                                 |
| Date Sentember 21, 2006                                                                                                                  | 1.                                                                                                                                                                                                                                             | Reg. No. 43 | 3,571                                                                                                                                                                                                                                                                                           |

## CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Mail Stop: Amendment the date shown below: Signature

September 21, 2006

Typed or printed name

Paula M. Halsey

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain e benefit by the public which is to file (and by the USPTO) to process) an application. Confidentially is governed by 35 U.S.C. 222 and 37 CFR 1.1 and 1.4. This collection is estimated by 1.2 hours to complete including application. For the complete application form to the USPTO. Time wit way depending upon the individual case, they comments on the amount of time you require to complete the form and/or suggested for reducing this burden, should the sent to the Chief Individual case. Any comments of the amount of time you require to complete the form and/or suggested for reducing this burden, should the sent to the Chief Individual case. Any comments of the complete the form and/or suggested for reducing this burden, should the sent to the Chief Individual case. Any comments of the complete the form and/or suggested for the complete the formation of the complete ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date | September 21, 2006

Attorney Docket No.: 516/12

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Immanuel Straub

Application No.: 10/591,100

Group Art Unit: Not yet assigned

Filing Date: August 30, 2006

Examiner: Not yet assigned

For: CATHETER FOR ASPIRATING,

FRAGMENTING AND REMOVING

MATERIAL

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal service as first class mail, in a postage prepaid envelope, addressed to Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date below:

Attorney Docket No.: 516/12

Receipt date: 09/25/2006 10591100 - GAU: 4185

| ∟<br>char                                              | a check to cover the fee under 37 C.  rge any further fee to Deposit Account                                                 | No. 11-0223; <u>or</u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|--------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                        | a statement below under 37 C.F.R.                                                                                            | § 1.97(e).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| □ Ву с                                                 | checking this paragraph, this Informatio                                                                                     | on Disclosure Statement is being filed pursuant to                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 37 C.F.R. §                                            | § 1.97(d) after the mailing date of a Fi                                                                                     | inal Action, a Notice of Allowance, or close of                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| prosecution                                            | n, but before payment of the issue fee.                                                                                      | Accordingly included herewith are:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| Char                                                   | a check to cover the fee under 37 C. rge any further fee to Deposit Account                                                  | F.R. § 1.17(p), the Commissioner is authorized to No. 11-0223; and                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|                                                        | a statement below under 37 C.F.R.                                                                                            | § 1.97(e).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| Statement                                              | Pursuant to 37 C.F.R. § 1.97(e):                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| this Inform                                            | ation Disclosure Statement was first cite                                                                                    | ed states that each item of information contained in<br>ed in a communication from a foreign patent office<br>three months prior the filing of this Information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| this Inform<br>counterpart<br>no item of<br>individual | nation Disclosure Statement was cited<br>t foreign application, and, the undersign<br>f information contained in this Inform | ed states that no item of information contained in in a communication from a foreign patent in a need, after making reasonable inquiry, believes that nation Disclosure Statement was known to any one than three months prior to the filing of this                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| Dated: Sep                                             | B <sub>3</sub><br>K.<br>K.<br>90<br>W                                                                                        | espectfully submitted,  win M. Curran, Reg. No. 43,571  APLAN GILMAN GIBSON & DERNIER LLP  10 Route 9 North  10 Route 9 North  12 Route 9 North  13 Route 9 North  15 Route 9 North  16 Route 9 North  17 Route 9 North  18 Route 9 North  18 Route 9 North  19 Route 9 North  10 Route 9 Rout |

Application No.: 10/591,100

11/13/2008

Considered

Receipt date: p02/25/2006

Sheet 1

CEP 2 5 2006

PTO/SB/08A (07-06) Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE action Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for 1449/PTO PADEMA

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Usa as many sheats as necessary) of 1

| Co                     | Complete if Known |  |  |
|------------------------|-------------------|--|--|
| Application Number     | 10/591,100        |  |  |
| Filing Date            | August 30, 2006   |  |  |
| First Named Inventor   | Immanuel Straub   |  |  |
| Art Unit               | Not yet assigned  |  |  |
| Examiner Name          | Not yet assigned  |  |  |
| Attorney Docket Number | 516/12            |  |  |

|                       |              |                                                          | U. S. PATENT D                 |                                                    |                                                                                 |
|-----------------------|--------------|----------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passagas or Relavant<br>Figures Appaar |
|                       | AE           | <sup>US-</sup> 5,417,703                                 | 05-23-1995                     |                                                    |                                                                                 |
|                       | AF           | <sup>US-</sup> 5,084,052                                 | 01-28-1992                     |                                                    |                                                                                 |
|                       | AG           | US- 2003/114875                                          | 06-19-2003                     |                                                    |                                                                                 |
|                       |              | US-                                                      |                                |                                                    |                                                                                 |
|                       | 1            | US-                                                      |                                |                                                    |                                                                                 |
|                       |              | US-                                                      |                                |                                                    |                                                                                 |
|                       | 1            | US-                                                      |                                |                                                    |                                                                                 |
|                       | _            | US-                                                      |                                |                                                    |                                                                                 |
|                       | T            | US-                                                      |                                |                                                    |                                                                                 |
|                       |              | US-                                                      |                                |                                                    |                                                                                 |
|                       |              | US-                                                      |                                |                                                    |                                                                                 |
|                       |              | US-                                                      |                                |                                                    |                                                                                 |
|                       | _            | US-                                                      |                                |                                                    |                                                                                 |

|                       |             | FOREI                                                                           | GN PATENT DOCL              | MENTS                                              |                                                                                 |    |
|-----------------------|-------------|---------------------------------------------------------------------------------|-----------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----|
| Examiner<br>Initials* | Cite<br>No. | Foreign Patent Document                                                         | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Pessages<br>Or Relevent Figures Appear | 70 |
|                       |             | Country Coda <sup>3</sup> Number <sup>4</sup> Kind Coda <sup>3</sup> (if known) | WIM-DD-1111                 |                                                    | Of Relevant Figures Appear                                                      | Ľ  |
|                       | BN          | ISR of PCT/IB2005/000543*                                                       | 03-03-2005                  | Straub Medical AB                                  |                                                                                 | 匚  |
|                       | во          | EP0582533A1**                                                                   | 02-09-1994                  | Laboratoires Domilens                              |                                                                                 |    |
|                       | BP          | WO 00/54659                                                                     | 09-21-2000                  | Prolifix Medical Inc.                              |                                                                                 | L  |
|                       | BQ          | WO 94/24941                                                                     | 11-10-1994                  | PX Holding S.A.                                    |                                                                                 |    |
|                       |             |                                                                                 |                             |                                                    |                                                                                 | L  |
|                       |             |                                                                                 |                             |                                                    |                                                                                 | Г  |

Signature "EXAMINER Initial 8 reference considered, whether or not citation is in conformance with MPEP 609. Draw lina through citation 8 not in conformance and not considered, include copy of this form with next communication to applicable." Applicants varieupe citation designation number (optional). "See Nirtist Sclees of USEPTO Parient Documents at www.usepto.gov or VPEP 901.00 it." Enter Office that issued the document, by the vole-titled code (VPPC Standard ST.)." For Japanese patent document, by the vole-titled code (VPPC Standard ST.)." For Japanese patent document, by the vole-titled code (VPPC Standard ST.) if a possible. "Applicant is not place on cheek mark the off English Impulgation of the part of the patent document." You do document to the appropriate symbols as indicated on the document under VPPC Standard ST.16 if possible. "Applicant is to place on cheek mark the off English Impulgation of the patent document." Translation is attached.

/David Eastwood/

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by tha public which is to fill (and by tha USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Tima will vary depending upon the individual case. Any commants on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chiaf Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alaxandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>English translation not available, but forthcoming.

<sup>\*\*</sup>EnglAsiLtREFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.E./